



ITW

PATENT  
Client Reference Number: WH-16

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, DC. 20231

on Nov. 09, 2006.

(Date)

Typed or printed name of person signing this certificate

Signature Dr. O. P. Sam Zashmawt  
Sam Zashmawt

Attachments: 1. A copy of old filing receipt (3 pages). 2. A copy of the old Filing Receipt showing the needed correction in red color (3 pages). 3. A copy of Notice of Acceptance of Application under 35 US 371 and 37 CFR 1.495 (2 pages).

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:**

Harshal Prabhakar BHAGWATWAR,  
Mahesh Balkrishna PAITHANKAR,  
Pradeep SHARMA,  
Varada Ramesh BAPAT,  
Ismail Alibhai MATHAKIYA,  
Chandrshekhar Shivajirao KADAM,  
Bhushan Subhash YEOLA

**Title: STERILE IN-SITU MICROCARRIER FORMING GELLED POLYMERIC DISPERSIONS AND PROCESSES TO MANUFACTURE THE SAME**

Filing Date: March 31, 2006

Application Serial Number: 10/574,233 ✓

Examiner: Not assigned.

Confirmation Number: 2147

Customer number: 58478

Mail Stop: PCT

Date: November 7, 2006

**REQUEST FOR CORRECTED FILING RECEIPT**

Honorable Commissioner for Patents  
P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed herewith is a copy of the Official Filing Receipt for the above –identified application marked in red indicating corrections to be made thereto.

Accordingly, it is requested that the USPTO issues a corrected Filing Receipt and corrected Notice of Acceptance of Application under 35 US 371 and 37 CFR 1.495 reflecting these corrections.

Applicants respectfully request favorable consideration of the present application and a timely examination of the pending claims.

Should any official at the United States Patent and Trademark Office deem that any further action by the Applicant or Applicant's undersigned representative is desirable and/or necessary, the official is invited to telephone the undersigned at the number set forth below.

Respectfully submitted,

By: Sam Zaghmout

O. M. (Sam) Zaghmout Ph.D  
(Registration No. 51,286)

**Contact Information:**

Bio Intellectual Property Service (BIO IPS) LLC  
8509 Kernon Ct, Lorton, VA 22079. USA  
Cell Phone (703-919-4348), Fax: (703-550-0409)  
(703) 550-1968 (Voice/Fax)



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/574,233	03/31/2006	1614	1000	WH16		11	2

22428  
FOLEY AND LARDNER LLP  
SUITE 500  
3000 K STREET NW  
WASHINGTON, DC 20007

Wrong

58478  
Bio Intellectual Property Service (BIO  
IPS) LLC  
8509 Kernon Ct, Lorton, VA 22079

Correct

CONFIRMATION NO. 2147

## FILING RECEIPT



\*OC000000020610668\*

Date Mailed: 10/03/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Daniel Hendrix, Stuttgart, GERMANY;

Wrong

Correct

Power of Attorney: The patent practitioners associated with Customer Number 22428.

Wrong

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/IB04/00003 01/06/2004

Foreign Applications

If Required, Foreign Filing License Granted: 09/27/2006

58478

Correct

Harshal Prabhakar BHAGWATWAR,  
Mahesh Balkrishna PAITHANKAR,  
Pradeep SHARMA,  
Varada Ramesh BAPAT,  
Ismail Alibhai MATHAKIYA,  
Chandrshekhhar Shivajirao KADAM,  
Bhushan Subhash YEOLA

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/574,233**

Projected Publication Date: 01/04/2007

Non-Publication Request: No

Early Publication Request: No

Title

Sterile in-situ microcarrier forming gelled polymeric dispersions and processes to manufacture the same

**Preliminary Class**

514

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/574,233	Daniel Hendrix	WH16

INTERNATIONAL APPLICATION NO.
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PCT/IB04/00003

I.A. FILING DATE	PRIORITY DATE
01/06/2004	

22428  
FOLEY AND LARDNER LLP  
SUITE 500  
3000 K STREET NW  
WASHINGTON, DC 20007

CONFIRMATION NO. 2147

371 ACCEPTANCE LETTER



\*OC000000020610669\*

Date Mailed: 10/03/2006

**NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495**

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>03/31/2006</u>	<u>03/31/2006</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 03/31/2006
- Copy of the International Search Report filed on 03/31/2006
- Preliminary Amendments filed on 03/31/2006
- Oath or Declaration filed on 03/31/2006
- Request for Immediate Examination filed on 03/31/2006
- U.S. Basic National Fees filed on 03/31/2006
- Priority Documents filed on 03/31/2006
- Power of Attorney filed on 03/31/2006
- Specification filed on 03/31/2006
- Claims filed on 03/31/2006
- Abstracts filed on 03/31/2006

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

**PART 1 - ATTORNEY/APPLICANT COPY**

FORM PCT/DO/EO/903 (371 Acceptance Notice)